

Concavity Bounds for Quantum Entropy

Mary Beth Ruskai

ruskai@member.ams.org

joint work with I. Kim arxiv:1404.5999

ILAS August, 2014

Quantum Entropy

defined by von Neumann (1927) $S(\rho) = -\text{Tr } \rho \log \rho$

$\rho \geq 0$, $\text{Tr } \rho = 1$ a density matrix

well-known to be concave

$$S(\rho_{\text{av}}) \equiv S(x\rho_1 + (1-x)\rho_2) \geq x S(\rho_1) + (1-x) S(\rho_2)$$

want bound on concavity

Aside: Chandler Davis (1961) first to observe stronger

$$\rho \mapsto -\rho \log \rho \quad \text{operator concave}$$

Aside on Relative Entropy

Klein's Inequality: for any convex function f on $(0, \infty)$

$$\mathrm{Tr} f(A) - f(B) \geq \mathrm{Tr} f'(B)(A - B) \quad \forall A, B > 0$$

Pf: Expand in e-fctns of B and apply Jensen's ineq in form

$$\langle \phi, f(A)\phi \rangle \geq f(\langle \phi, A\phi \rangle)$$

Apply to $x \log x$ gives Klein

$$H(A, B) \equiv \mathrm{Tr} A \log A - \mathrm{Tr} A \log B \geq \mathrm{Tr}(A - B)$$

Relative entropy $H(A, B) \geq 0$ if $\mathrm{Tr} A = \mathrm{Tr} B$

Pinsker's Ineq $H(A, B) \geq \frac{1}{2}\|A - B\|_1^2$

Kim's lower bounds

First, for any op convex fctn, can define gen rel ent – Kim proved

$$\begin{aligned} S(\rho_{\text{av}}) - x S(\rho_1) - (1-x) S(\rho_2) &\geq \frac{x(1-x)}{(1-2x)^2} H(\rho_{\text{rev}}, \rho_{\text{av}}) \\ &\geq \frac{1}{2} \frac{x(1-x)}{(1-2x)^2} \|\rho_{\text{rev}} - \rho_{\text{av}}\|_1^2 \\ &\geq \frac{1}{2} x(1-x) \|\rho_1 - \rho_2\|_1^2 \end{aligned}$$

$$\rho_{\text{av}} = x\rho_1 + (1-x)\rho_2 \quad \rho_{\text{rev}} = x\rho_2 + (1-x)\rho_1$$

Note: $\rho_{\text{av}} - \rho_{\text{rev}} = (1-2x)(\rho_2 - \rho_1)$

Simple Proof

Simple Proof of second inequality

$$S(\rho_{\text{av}}) - x S(\rho_1) - (1-x) S(\rho_2)$$

$$= x \text{Tr} \rho_1 \log \rho_1 + (1-x) \text{Tr} \rho_2 \log \rho_2 - \text{Tr} [x\rho_1 + (1-x)\rho_2] \log \rho_{\text{av}}$$

$$= x H(\rho_1, \rho_{\text{av}}) + (1-x) H(\rho_2, \rho_{\text{av}})$$

$$\geq \frac{1}{2}x \|\rho_1 - \rho_{\text{av}}\|_1^2 + \frac{1}{2}(1-x) \|\rho_2 - \rho_{\text{av}}\|_1^2$$

$$= \frac{1}{2}[x(1-x)^2 + (1-x)x^2] \|\rho_2 - \rho_{\text{av}}\|_1^2 = \frac{1}{2}x(1-x) \|\rho_1 - \rho_2\|_1^2$$

Note, e.g., $\rho_{\text{av}} - \rho_1 = (1-x)(\rho_2 - \rho_1)$

Carlen-Lieb bound on subadditivity

$$S(\rho_A) + S(\rho_B) - S(\rho_{AB}) = H(\rho_{AB}, \rho_A \otimes \rho_B)$$

$$\geq \begin{cases} \frac{1}{2} \|\rho_{AB} - \rho_A \otimes \rho_B\|_1 & \text{Pinsker} \\ -2 \log \left[1 - \frac{1}{2} \text{Tr} \left(\sqrt{\rho_{AB}} - \sqrt{\rho_A \otimes \rho_B} \right)^2 \right] & \text{Carlen-Lieb} \\ = -2 \log \text{Tr} \sqrt{\rho_{AB}} \sqrt{\rho_A \otimes \rho_B} & H_{1/2}^{\text{Ren}}(\rho_{AB}, \rho_A \otimes \rho_B) \end{cases}$$

Carlen-Lieb show their (Renyi) bound stronger in **some** situations

Renyi relative entropy $H_a^{\text{Ren}}(\rho, \gamma) \equiv \frac{1}{a-1} \log \text{Tr} \rho^a \gamma^{1-a}$

monotone $\frac{1}{2} \leq a \leq 2$ $H(\rho, \gamma) = H_1^{\text{Ren}}(\rho, \gamma) \geq H_{1/2}^{\text{Ren}}(\rho, \gamma)$

Concavity as subadditivity

If $P_{AB} = \begin{pmatrix} x\rho_1 & 0 \\ 0 & (1-x)\rho_2 \end{pmatrix}$ then $P_A = \rho_{\text{av}}$, $P_B = \begin{pmatrix} x & 0 \\ 0 & 1-x \end{pmatrix}$

so that

$$\begin{aligned} H(P_{AB}, P_A \otimes P_B) &= S(P_A) + S(P_B) - S(P_{AB}) \\ &= S(\rho_{\text{av}}) + h(x) \\ &\quad + \text{Tr } x\rho_1 \log x\rho_1 + \text{Tr } (1-x)\rho_2 \log(1-x)\rho_2 \\ &= S(\rho_{\text{av}}) + h(x) - h(x) - xS(\rho_1) - (1-x)S(\rho_2) \\ &= S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) \\ h(x) &= -x \log x - (1-x) \log(1-x) \text{ binary entropy} \end{aligned}$$

Apply Carlen-Lieb or Renyi $\frac{1}{2}$

$$S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) = H(P_{AB}, P_A \otimes P_B)$$

$$\geq -2 \log \text{Tr} \sqrt{P_{AB}} \sqrt{P_A \otimes P_B}$$

$$= -2 \log \text{Tr} \begin{pmatrix} \sqrt{x}\sqrt{\rho_1} & 0 \\ 0 & \sqrt{1-x}\sqrt{\rho_2} \end{pmatrix} \begin{pmatrix} \sqrt{x}\sqrt{\rho_{\text{av}}} & 0 \\ 0 & \sqrt{1-x}\sqrt{\rho_{\text{av}}} \end{pmatrix}$$

$$= -2 \log \text{Tr} [x\sqrt{\rho_1} + (1-x)\sqrt{\rho_2}] \sqrt{\rho_{\text{av}}}$$

$$\geq -2 \log \text{Tr} \sqrt{\rho_{\text{av}}} \sqrt{\rho_{\text{av}}} = -2 \log \text{Tr} \rho_{\text{av}} = 0$$

Pinsker direct $H(P_{AB}, P_A \otimes P_B) \geq 2x^2(1-x)^2$ appears weaker

Which bound is better?

Pinsker

$$S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) \geq \frac{1}{2}x(1-x)\|\rho_1 - \rho_2\|_1^2$$

Carlen-Lieb/Renyi

$$S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) \geq -2 \log \text{Tr} [x\sqrt{\rho_1} + (1-x)\sqrt{\rho_2}] \sqrt{\rho_{\text{av}}}$$

Numerical examples show sometimes one, sometimes the other

Carlen-Lieb sometimes even better than stronger Kim bound.

But Carlen-Lieb (Renyi) also weaker for some ρ_1, ρ_2 .

Holevo χ bounds

$$\begin{aligned}\chi(x_k, \rho_k) &\equiv S(\rho_{\text{av}}) - \sum_k x_k S(\rho_k) \\ &= \sum_k x_k H(\rho_k, \rho_{\text{av}}) \geq \frac{1}{2} \sum_k x_k \|\rho_k - \rho_{\text{av}}\|_1^2\end{aligned}$$

Don't get single term form, but Renyi approach also extends

$P_{AB} = \bigoplus_k x_k \rho_k$ as block diagonal matrix with blocks $x_k \rho_k$.

$$\begin{aligned}\chi(x_k, \rho_k) &= H(P_{AB}, P_A \otimes P_B) \\ &\geq -2 \log \text{Tr} \sqrt{P_{AB}} \sqrt{P_A \otimes P_B} \\ &= -2 \log \left(\sum_k x_k \sqrt{\rho_k} \right) \sqrt{\rho_{\text{av}}}\end{aligned}$$

$$\chi(x_k, \rho_k) = S(\rho_{\text{av}}) - \text{Av}[S(\rho_k)] \geq -2 \log \sqrt{\rho_{\text{av}}} \text{Av}(\sqrt{\rho_k})$$

Upper Bounds

Operator monotonicity of $\log \Rightarrow \log x\rho_1 < \log \rho_{\text{av}}$

$$\log(1-x)\rho_2 < \log \rho_{\text{av}}$$

$$\text{Tr } x\rho_1 \log x\rho_1 + \text{Tr } (1-x)\rho_2 \log(1-x)\rho_2 \leq \text{Tr } [x\rho_1 + (1-x)\rho_2] \log \rho_{\text{av}}$$

$$-x \log x - (1-x) \log(1-x) + xS(\rho_1) + (1-x)S(\rho_2) \geq S(\rho_{\text{av}})$$

$$h(x) \geq S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2)$$

$$h(x) = -x \log x - (1-x) \log(1-x) \text{ binary entropy}$$

More recent bounds

$$\begin{aligned} S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) &\leq h(x)2\left[1 - \text{Tr}(\sqrt{\rho}\gamma\sqrt{\rho})^{1/2}\right] \\ &\leq h(x)\|\rho_1 - \rho_2\|_1 \end{aligned}$$

first ineq due to Roga, Fannes and Zyczkowski (2010)

second ineq due to Fuchs and van de Graph (1999)

Not clearly better since can have $2 \geq \|\rho_1 - \rho_2\|_1 > 1$

$$S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) \leq h(x)\frac{1}{2}\|\rho_1 - \rho_2\|_1$$

Audenaert (arxiv: 1304.5935) definitely stronger bound

Renyi relative entropies

$$H_a^{\text{Ren}}(\rho, \gamma) \equiv \frac{1}{a-1} \log \text{Tr} \rho^a \gamma^{1-a} \quad \forall a \in (0, 2]$$

traditional, many applications $\lim_{a \rightarrow 1} (\) = H(\rho, \gamma)$

Main interest is $a \in [\frac{1}{2}, 2]$

Aside: $\frac{1}{a(a-1)} \log \text{Tr} \rho^a \gamma^{1-a} \quad \forall a \in [-1, 2] \quad \lim_{a \rightarrow 0} (\) = H(\gamma, \rho)$

$$\tilde{H}_a^{\text{Ren}}(\rho, \gamma) \equiv \frac{1}{a-1} \log \text{Tr} \left(\gamma^{\frac{1-a}{2a}} \rho \gamma^{\frac{1-a}{2a}} \right)^a \quad \forall a > 0$$

“sandwiched” Renyi entropy – independently by two groups

arXiv:1306.1586, arXiv:1306.3142

Properties of Renyi relative entropy

$$H_a^{\text{Ren}}(\rho, \gamma) \equiv \frac{1}{a-1} \log \text{Tr} \rho^a \gamma^{1-a} \quad \forall a \in (0, 2]$$

$$\tilde{H}_a^{\text{Ren}}(\rho, \gamma) \equiv \text{Tr} \frac{1}{a-1} \log \text{Tr} \left(\gamma^{\frac{1-a}{2a}} \rho \gamma^{\frac{1-a}{2a}} \right)^a \quad \forall a > 0$$

- $\tilde{H}_a^{\text{Ren}}(\rho, \gamma) \geq H_a^{\text{Ren}}(\rho, \gamma)$
- both $\rightarrow H(\rho, \gamma)$ as $a \rightarrow 1$
- both monotone increasing in a for $a \geq \frac{1}{2}$
- both decrease under CPT maps (quantum channels)

Max relative entropy

Renner (ETH thesis, 2005) introduced max and min entropy

Def: $H_{\min}(\rho) = -\log \lambda_{\max}(\rho)$ $H_{\max}(\rho) = \log \text{rank}(\rho)$

smoothed and conditioned versions, which \rightarrow usual in suitable limit
extremely useful in cryptography and QIT

Def. or Thm: $H_{\min}(\rho, \gamma) = \lim_{a \rightarrow 0} H_a^{\text{Ren}}(\rho, \gamma)$

Def: $H_{\max}(\rho, \gamma) \equiv \log \inf\{w : \rho \leq w\gamma\} = \log \lambda_{\max}(\gamma^{-1/2}\rho\gamma^{-1/2})$

Thm: $\lim_{a \rightarrow \infty} \tilde{H}_a^{\text{Ren}}(\rho, \gamma) = H_{\max}(\rho, \gamma)$

Improved bounds

Thm: For any fixed, $x \in (0, 1)$ and $\rho_1 \neq \rho_2$ one can find $a_c > 1$ and $b_c \in [\frac{1}{2}, 1)$ such that

$$\begin{aligned} h(x) \frac{1}{2} \|\rho_1 - \rho_2\|_1 &\geq x \tilde{H}_a^{\text{Ren}}(\rho_1, \rho_{\text{av}}) + (1-x) \tilde{H}_a^{\text{Ren}}(\rho_2, \rho_{\text{av}}) \\ &\quad \forall a \geq a_c \\ &\geq S(\rho_{\text{av}}) - xS(\rho_1) - (1-x)S(\rho_2) \\ &= xH_1(\rho_1, \rho_{\text{av}}) + (1-x)H_1(\rho_1, \rho_{\text{av}}) \\ &\geq xH_b^{\text{Ren}}(\rho_1, \rho_{\text{av}}) + (1-x)H_b^{\text{Ren}}(\rho_2, \rho_{\text{av}}) \\ &\quad \forall b \in [b_c, 1) \\ &\geq \frac{1}{2}x(1-x)\|\rho_1 - \rho_2\|_1^2 \end{aligned}$$

Would like strict inequality – expect generic,

but not possible if e.g., ρ_1, ρ_2 orthog rank one proj.

Better bounds?

Can one find universal bounds with some of x, ρ_1, ρ_2 fixed?

Try $a = 2$ (dual of $\frac{1}{2}$)

$$\begin{aligned}\chi(x_k, \rho_k) &= S(\rho_{\text{av}}) - \sum_k x_k S(\rho_k) \\ &\leq \sum_k x_k H_2^{\text{Ren}}(\rho_k, \rho_{\text{av}}) \\ &= -\frac{1}{2} x_k \sum_k \log \text{Tr } \rho_k \frac{1}{\rho_{\text{av}}} \rho_k \\ ? &\leq ? h(\{x_k\})\end{aligned}$$

Can only show last ineq when all $x_k = \frac{1}{n}$, $h(\{x_k\}) = \log n$

Mosonyi's bound

Thm: (Mosonyi) For all $a > 1$,

$$\begin{aligned} h(\{x\}) &\geq \sum_k x_k \tilde{H}_a^{\text{Ren}}(\rho_k, \rho_{\text{av}}) \\ &\geq S(\rho_{\text{av}}) - \sum_k x_k S(\rho_k) = \chi(x_k, \rho_k) \end{aligned}$$

Pf: Since $\rho_k \leq \frac{1}{x_k} \rho_{\text{av}}$, $H_{\max}(\rho, \gamma) \equiv \log \inf\{w : \rho \leq w\gamma\}$

$$\begin{aligned} -\log x_k &= \log \frac{1}{x_k} \geq H_{\max}(\rho_k, \rho_{\text{av}}) \\ &\geq \tilde{H}_a^{\text{Ren}}(\rho_k, \rho_{\text{av}}) \geq H_1(\rho_k, \rho_{\text{av}}) \end{aligned}$$

The End – Almost

That's all folks. Thank You.

Open Problem: How to number slides in reverse using beamer?

Serious Open Problem

Audenaert-Ruskai conjecture arxiv.0708.1902 See Section 2.

Block matrix gen of Horn's lemma. Several formulations:

- Every CPT map can be written as evenly weighted convex comb of extreme pts. (generalized to mean Choi rank $\leq d$)
- See paper for more and why this is Horn's Lemma.

Really finished - Thank You.