

# Gour-Friedland proof ( arXiv:1105.6122) of local additivity of minimal output entropy

Mary Beth Ruskai

[marybeth.ruskai@tufts.edu](mailto:marybeth.ruskai@tufts.edu)

Tufts University and IQC Waterloo

February, 2012

## Background

Additivity Conj:  $S_{\min}(\Phi_A \otimes \Phi_C) = S_{\min}(\Phi_A) \otimes S_{\min}(\Phi_C)$

proved false by Hastings (2009) followed work of Hayden-Winter

later work by King-Fukuda, Brandao-Horodecki

new proofs by Auburn-Szarek-Werner, Collins-Nechita

Shor (2003) showed equiv with other add conj; raised new question

$\rho_A = |\psi_A\rangle\langle\psi_A|$ ,  $\rho_C = |\psi_C\rangle\langle\psi_C|$  local min  $S[\Phi_A(\rho_A)]$ ,  $S[\Phi_C(\rho_C)]$

$\Rightarrow |\psi_A \otimes \psi_C\rangle\langle\psi_A \otimes \psi_C|$  give local min  $S[(\Phi_A \otimes \Phi_C)(\rho_{AC})] ??$

$S(\rho) = -\text{Tr } \rho \log \rho$  von Neumann entropy  $S_{\min}(\Phi) = \min_{\rho} S[\Phi(\rho)]$

quantum channel  $\Phi_A : \mathcal{B}(\mathcal{H}_A) \mapsto \mathcal{B}(\mathcal{H}_B)$  CPT map

completely positive, trace-preserving

## Equivalent question via Stinespring

minimum over **convex** set of density matrices  $\rho \geq 0, \text{Tr } \rho = 1$

$S[\Phi(\rho)]$  concave  $\Rightarrow$  all minima on boundary  $\rho = |\psi\rangle\langle\psi|$  pure

Stinespring:  $\Phi(\rho) = \text{Tr}_E V \rho V^*$   $V^* V = I_B$

$\Phi(|\psi\rangle\langle\psi|) = V|\psi\rangle\langle\psi|V^*$   $V : \mathcal{H}_A \mapsto \mathcal{H}_B \otimes \mathcal{H}_E$

Rewrite problem  $x \simeq V|\psi\rangle$  vec in subspace of  $\mathcal{H}_B \otimes \mathcal{H}_E \simeq \mathcal{H}_A$

rep  $x$  as  $d_B \times d_E$  matrix

$$\Phi(|\psi\rangle\langle\psi|) = \text{Tr}_E V|\psi\rangle\langle\psi|V^* = x x^* = \begin{pmatrix} x \\ x^* \end{pmatrix} \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} \begin{pmatrix} x \\ x^* \end{pmatrix} = (\rho)$$

generic situation  $d_A^2 \geq d_E \gg d_B$  and  $x x^*$  full rank (non-sing)

loc min  $S(x x^*) = -\text{Tr } x x^* \log x x^*$   $x \in$  subspace  $\mathcal{H}_B \otimes \mathcal{H}_E \simeq \mathcal{H}_A$

## Using integral representation to differentiate entropy

$$\begin{aligned}\log(\rho + t\gamma) - \log \rho &= \int_0^\infty \left[ \frac{1}{\rho + u} - \frac{1}{\rho + t\gamma + u} \right] du \\&= \int_0^\infty \left[ \frac{1}{\rho + u} \frac{\rho + t\gamma + u}{\rho + t\gamma + u} - \frac{\rho + u}{\rho + u} \frac{1}{\rho + t\gamma + u} \right] du \\&= \int_0^\infty \frac{1}{\rho + u} [\rho + t\gamma + u - \rho - u] \frac{1}{\rho + t\gamma + u} du \\&= t \int_0^\infty \frac{1}{\rho + u} \gamma \frac{1}{\rho + t\gamma + u} du \\&= t \int_0^\infty \frac{1}{\rho + u} \gamma \frac{1}{\rho + u} du + t^2 \int_0^\infty \frac{1}{\rho + u} \gamma \frac{1}{\rho + u} \gamma \frac{1}{\rho + u} du + O(t^3)\end{aligned}$$

# First derivative

$$S(\rho + t\gamma) - S(\rho) = -\text{Tr} \rho [\log(\rho + t\gamma) - \log \rho] - t \text{Tr} \gamma \log \rho + O(t^2)$$

$$\begin{aligned}\lim_{t \rightarrow 0} \frac{S(\rho + t\gamma) - S(\rho)}{t} &= -\text{Tr} \rho \int_0^\infty \frac{1}{\rho+u} \gamma \frac{1}{\rho+u} du - \text{Tr} \gamma \log \rho \\ &= -\text{Tr} \rho \rho^{-1} \gamma - \text{Tr} \gamma \log \rho \\ &= -\text{Tr} \gamma - \text{Tr} \gamma \log \rho \\ &= 0 - \text{Tr} \gamma \log \rho = -\text{Tr} \gamma \log \rho\end{aligned}$$

since  $\text{Tr} \gamma = 0$ .      Valid even when  $\rho$  singular.

Later interest:       $\text{Tr} \int_0^\infty \rho \frac{1}{\rho+u} \gamma \frac{1}{\rho+u} du = 0$

## Expansion in power of $t$

$$\begin{aligned} S(\rho + t\gamma_0 + t^2\gamma_1) &= -\text{Tr}(\rho + t\gamma_0 + t^2\gamma_1)[\log \rho + tF + t^2G] \\ &= S(\rho) - t \text{Tr} \gamma_0 \log \rho - t^2 \text{Tr} \gamma_1 \log \rho \\ &\quad - t^2 \text{Tr} \int_0^\infty \gamma_0 \frac{1}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} du \\ &\quad + t^2 \text{Tr} \int_0^\infty \frac{\rho}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} du + O(t^3) \\ &= S(\rho) - t \text{Tr} \gamma_0 \log \rho - t^2 \text{Tr} \gamma_1 \log \rho \\ \text{expect 3 } t^2 \text{ terms} &\quad - \frac{1}{2} t^2 \text{Tr} \int_0^\infty \gamma_0 \frac{1}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} du + O(t^3) \end{aligned}$$

commute: two ints  $-\text{Tr} \gamma_0 \rho^{-1} \gamma_0 + \frac{1}{2} \text{Tr} \gamma_0 \rho^{-1} \gamma_0 = -\frac{1}{2} \text{Tr} \gamma_0 \rho^{-1} \gamma_0$

**Surprise:** Can still combine in non-comm case

## Second derivative

$$\frac{(x + ty)(x + ty)^*}{1 + t^2} = xx^* + t(xy^* + yx^*) + t^2(yy^* - xx^*)$$

$$\begin{aligned}\frac{d^2}{dt^2} S(\rho + t\gamma_0 + t^2\gamma_1) \Big|_{t=0} &= \frac{d^2}{dt^2} S\left(\frac{(x+ty)(x+ty)^*}{1+t^2}\right) \Big|_{t=0} \equiv D_2[x, y] \\ &= -\text{Tr } \gamma_1 \log \rho - \frac{1}{2} \text{Tr } \int_0^\infty \gamma_0 \frac{1}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} du\end{aligned}$$

First term  $\text{Tr } \gamma_1 \log \rho = -\text{Tr } yy^* \log xx^* + S(xx^*)$  additive

Concentrate on second — will rewrite beginning with

$$\text{Tr } \int_0^\infty \gamma_0 \frac{1}{\rho + uI} \gamma_0 \frac{1}{\rho + uI} du = \text{Tr } \gamma_0 \frac{L_{\log P} - R_{\log P}}{L_P - R_P} \gamma_0$$

Jon Yard independently obtained this form of second derive.

## Aside on left, right mult and modular operator

$d \times d$  matrices form Hilbert space with  $\langle A, B \rangle = \text{Tr } A^* B$

Def. Left and Right mult as linear operators on this vector space

$$L_A(X) = AX \quad \text{and} \quad R_B(X) = XB$$

- a)  $L_A$  and  $R_B$  commute  $L_A[R_B(X)] = AXB = R_B[L_A(X)]$
- b)  $A = A^* \Rightarrow L_A, R_A$  self-adjoint wrt H-S inner prod

For  $A, B > 0$  positive definite

- c)  $L_A, R_A$  pos def  $\langle X, R_A(X) \rangle = \text{Tr } X^* X A = \text{Tr } X A X^* \geq 0$
- d)  $(L_A)^{-1} = L_{A^{-1}}, \quad (R_B)^{-1} = R_{B^{-1}}$
- e)  $f(L_A) = L_{f(A)} \quad \log L_A = L_{\log A} \quad \log R_A = R_{\log A}$

simple form of deep idea: Araki  $\Delta_{AB} = L_A R_B^{-1}$  relative modular op

## Rewrite using modular operator

$$\begin{aligned}(L_{\log P} - R_{\log P})A &= A(\log P) - A \log P \\&= \int_0^\infty \left( A \frac{1}{P + ul} - \frac{1}{P + ul} A \right) du \\&= \int_0^\infty \frac{1}{P + ul} (PA - AP) \frac{1}{P + ul} du \\&= \int_0^\infty \frac{1}{P + ul} (L_P - R_P) A \frac{1}{P + ul} du\end{aligned}$$

$$\frac{L_{\log P} - R_{\log P}}{L_P - R_P} A = \int_0^\infty \frac{1}{P + ul} A \frac{1}{P + ul} du$$

$$P \mapsto \rho \quad A \mapsto \gamma_0$$

$$\mathrm{Tr} \int_0^\infty \gamma_0 \frac{1}{\rho + ul} \gamma_0 \frac{1}{\rho + ul} du = \mathrm{Tr} \gamma_0 \frac{L_{\log P} - R_{\log P}}{L_P - R_P} \gamma_0$$

Use form of  $\gamma_0$  to rewrite further

$$\gamma_0 = xy^* + yx^* \quad x, y \in d_B \times d_E, \quad d_E \gg d_B \text{ in general}$$

assume  $\rho = xx^* = \begin{pmatrix} x \\ x^* \end{pmatrix} \begin{pmatrix} x^* \\ x \end{pmatrix} = \begin{pmatrix} * & * \end{pmatrix}$  non-sing

$$x = \sqrt{\rho} P = \sqrt{xx^*} P \quad PP^* = I_B \quad xP^* = (\sqrt{xx^*} \quad 0)$$

$$\gamma_0 = \sqrt{\rho} Py^* + yP^*\sqrt{\rho} \quad \text{Write } Py^* = w + iz \quad w = w^*, z = z^*$$

$$\gamma_0 = (L_{\sqrt{\rho}} + R_{\sqrt{\rho}})w + i(L_{\sqrt{\rho}} - R_{\sqrt{\rho}})z \quad \text{After some work get}$$

$$\begin{aligned} \frac{1}{2} \text{Tr} \int_0^\infty \gamma_0 \frac{1}{\rho+uI} \gamma_0 \frac{1}{\rho+uI} du &= \frac{1}{2} \text{Tr} \gamma_0 \frac{L_{\log P} - R_{\log P}}{L_P - R_P} \gamma_0 \\ &= \text{Tr } w \phi(\Delta_{\sqrt{\rho}})(w) + \text{Tr } z \phi(-\Delta_{\sqrt{\rho}})(z) \end{aligned}$$

$$\phi(a) = \frac{1}{2} \frac{a+1}{a-1} \log a^2 = \phi(a^{-1}) \quad \Delta_{\sqrt{\rho}} = L_{\sqrt{\rho}} R_{\sqrt{\rho}}^{-1}$$

## Gour-Friedland subadditivity inequality

recall  $\phi(a) = \frac{1}{2} \frac{a+1}{a-1} \log a^2 = \phi(a^{-1})$  well-defined for  $a \in \mathbf{R}$ ,  $a \neq 0$

$$\phi(\pm ac) \leq \frac{1}{2} [\phi(a) + \phi(-a) + \phi(c) + \phi(-c)]$$

Use spectral theorem for  $\Delta = L_{\sqrt{\rho}} R_{\sqrt{\rho}}^{-1}$  Write  $\Delta_A$  for  $x_A$  etc.

$\phi(\pm \Delta_A \otimes \Delta_C)$  as operator inequality

$$\leq \frac{1}{2} [\phi(\Delta_A) \otimes \mathcal{I}_C + \phi(-\Delta_A) \otimes \mathcal{I}_C + \mathcal{I}_A \otimes \phi(\Delta_C) + \mathcal{I}_A \otimes \phi(-\Delta_C)]$$

$$\mathrm{Tr} y_{AB}^* \phi(\pm \Delta_A \otimes \Delta_C)(y_{AB}) \leq \frac{1}{2} [\mathrm{Tr} y_{AB}^* \phi(\Delta_A) \otimes \mathcal{I}_C(y_{AB}) + \dots]$$

$$\mathrm{Tr} y_A \otimes y_C \phi(\pm \Delta_A \otimes \Delta_C)(y_A \otimes y_C) \leq \frac{1}{2} [(\mathrm{Tr}_A y_A \phi(\Delta_A) y_A) \mathrm{Tr}_C y_C^* y_C + \dots]$$

## Decompose derivative

$$D_2[x, y] \equiv -\text{Tr } yy^* \log xx^* - S(xx^*) - \text{Tr } w \phi(\Delta_{\sqrt{\rho}})(w) + \text{Tr } z \phi(-\Delta_{\sqrt{\rho}})(z)$$

$$Py^* \equiv w + iz \quad \text{or} \quad P = (U_B \ 0), \quad y = (y_{11} \ y_{12}) = (w + iz \quad y_{12})$$

$$1 = \text{Tr } yy^* = \text{Tr } y_{11}y_{11}^* + \text{Tr } y_{12}y_{12}^* = \text{Tr } w^2 + \text{Tr } z^2 + \text{Tr } y_{12}^*y_{12}$$

$$S(x^*x) = \text{Tr } w^2 S(x^*x) + \text{Tr } z^2 S(x^*x) + \text{Tr } y_{12}^*y_{12} S(x^*x)$$

$$D_2[x, y] = D_2[x, y_{12}] + D_2[x, w] + D_2[x, iz]$$

$$\text{convex decomp} \quad D_2[x, y_{12}] = (\text{Tr } y_{12}y_{12}^*) D_2[x, \tilde{y}_{12}] \quad \tilde{y}_{12} = \frac{y_{12}}{\text{Tr } y_{12}y_{12}^*}$$

Suff. cond. is that each of above terms  $> 0$  but not nasc

Need  $y = (w + iz \quad y_{12}) \in \hat{\mathcal{H}}_A \subset \mathcal{H}_{B_A} \otimes \mathcal{H}_{E_A}$

but useful to analyze each term separately

# Product perturbation

very special case  $y_{AC} = y_A \otimes y_C$  not trivial

$$\begin{aligned}(P_A \otimes P_C)(y_A \otimes y_C)^* &= (w_A + iz_A) \otimes (w_C + iz_C) \\&= (w_A \otimes w_C - z_A \otimes z_C) + i(w_A \otimes z_C + z_A \otimes w_C) \\&= w_{AC} + iz_{AC}\end{aligned}$$

Apply inequality, cancel terms, and recombine etc.

neg. contrib to second deriv from  $-\frac{1}{2}\text{Tr } w_{AC}\phi(\Delta_{AB})w_{AC} \dots$  etc.

$$\begin{aligned}D_2[x_A \otimes x_C, y_A \otimes y_C] &\geq D_2[x_A, e^{i\pi/4}w_A] + D_2[x_A, e^{i\pi/4}z_A] \\&\quad + D_2[x_C, e^{i\pi/4}w_C] + D_2[x_C, e^{i\pi/4}z_C] \\&= \frac{1}{2}D_2[x_A, w_A + iz_A] + \frac{1}{2}D_2[x_A, z_A + iw_A] \\&\quad + \frac{1}{2}D_2[x_C, w_C + iz_C] + \frac{1}{2}D_2[x_C, z_C + iw_C]\end{aligned}$$

## Reduce general case to product

Aside: Need to write using  $D_2[x_A, w_A + iz_A]$  etc. so that

$(w_A + iz_A, (y_A)_{12}) \in$  subspace of allowed perturb.

combine with additive terms to get  $> 0$

$$y_{AC} \in (x_A \otimes x_C)^\perp \subset \hat{\mathcal{H}}_A \otimes \hat{\mathcal{H}}_C \quad \mathcal{H}_A = \hat{\mathcal{H}}_A \subset \mathcal{H}_{B_A} \otimes \mathcal{H}_{E_A}$$

Can write  $y_{AC} = \sum_j \mu_j y_A^j \otimes y_C^j$

Can show cross terms cancel and

$$\begin{aligned} D_2[x_A \otimes x_C, y_{AC}] &= \sum_j \mu_j D_2[x_A \otimes x_C, y_A^j \otimes y_C^j] \\ &= \sum_j \mu_j (> 0) > 0 \end{aligned}$$

## Subtle point

development assumed  $\text{Tr } xy^* = 0$  and  $\text{Tr } xx^* = \text{Tr } yy^* = 1$ .

But  $\text{Tr } (x_A \otimes x_C)(y_A \otimes y_C)^* = (\text{Tr}_A x_A y_A^*)(\text{Tr}_C x_C y_C^*) = 0$

Could have  $\text{Tr}_A x_A y_A^* \neq 0$ , in which case

$$y_A = s x_A + \sqrt{1 - s^2} \tilde{y}_A \quad \text{Tr}_A x_A \tilde{y}_A^* = 0, \quad \text{Tr}_A \tilde{y}_A \tilde{y}_A \tilde{y} = 1$$

$$\frac{x_A + t y_A}{\sqrt{1 + t^2}} \mapsto \frac{(1 + s) x_A + \sqrt{1 - s^2} t \tilde{y}_A}{\sqrt{(1 + s)^2 + (1 - s^2)t^2}} = \frac{x_A + \tau \tilde{y}_A}{\sqrt{1 + \tau^2}}$$

$$t \mapsto \tau = \sqrt{\frac{1-s}{1+s}} t \quad \text{only effect is to rescale } t$$

Doesn't affect sign of derivative replace  $\mu_j$  by  $\sqrt{\frac{1-s_j}{1+s_j}} \mu_j$

## Modifications when $xx^*$ singular

$$\text{Block } x \rightarrow \begin{pmatrix} x_{11} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} x_{11} & 0 \\ 0 & 0 \end{pmatrix} \quad \begin{array}{l} \text{range } xx^* \\ \ker xx^* \end{array}$$

$$\text{combined cols for } \ker xx^* \text{ and } \mathcal{H}_E \setminus \mathcal{H}_B \quad y = \begin{pmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{pmatrix}$$

first row  $(y_{11} \ y_{12})$  same as non-sing.  $P^*y = y_{11}$

$y_{22} \neq 0$  formally  $-\text{Tr } y_{22}y_{22}^* \log xx^* \rightarrow +\infty$

Majorization arg shows  $y_{22} \neq 0$  always increases entropy

$y_{21}$  **big problem:**  $x \rightarrow x + \epsilon Q$   $QQ^* = \text{proj}(\ker xx^*)^\perp$

go back to  $-\text{Tr } y^*y \log x^*x - \frac{1}{2}\text{Tr } \int_0^\infty \gamma_0 \frac{1}{\rho+uI} \gamma_0 \frac{1}{\rho+uI} du$

get  $-\text{Tr } y_{21}y_{21}^* \log \epsilon + \text{Tr } y_{21}y_{21}^* \log \epsilon - \text{Tr } y_{21}^*y_{21} \log (xx^* + \epsilon QQ^*)$

$\rightarrow -\text{Tr } y_{21}^*y_{21} \log xx^*$  well-behaved and additive

## Conjecture for real subspace of self-adjoint matrices

GF big deal of Gurvits “real” counter-ex and role of  $\mathbf{C}$  vs  $\mathbf{R}$ .

Gurvits: subspace is span  $\{I, i\sigma_y\}$  anti-sym  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ .

some kind of completely noisy channel not qubit restrict to  $\mathbf{R}$

But anti-sym not preserved over  $\otimes$  get inputs with output  $\neq \frac{1}{4}I$ .

Special case  $d_B = d_E$  (very atypical) more natural to consider for  $\mathbf{R}$

subspace of real symmetric (or even self-adjoint) matrices.

**Conj:** Local additivity holds over  $\mathbf{R}$  in this case

Need slightly different inequality which seems OK numerically